

APPENDIX A

THE 2018/19 INTERNAL AUDIT SUMMARY

Audit Subject	2018/19 Audit Plan	2018/19 Status
Initial Review of Controls following a Significant Fraud	Planned	Completed
Detailed review of Controls following a Significant Fraud	Planned	Completed
IDEA implementation	Planned	Completed for 2018/19; ongoing into 2019/20 and beyond
Arms Length External Organisations	Planned	Completed
Transformation	Planned	Completed
Corporate Governance	Planned	Completed
Commissioning Strategy	Planned	Completed
Financial Assessment & Charging Follow Up	Planned	Completed
Further Follow Up of Financial Assessment & Charging	Planned	Completed
Bus Service Operators Grant	Planned	Completed
School Estate Strategy	Planned	Completed
Management of Contracts	Planned	Completed
Workforce Planning	Planned	Completed
Welfare Reform	Planned	Completed
Payroll	Planned	Completed
Sales Ledger	Planned	Completed
Residential Services	Planned	Completed
Tay Cities Deal	Planned	Completed
LEADER	Planned	Completed
Carbon Reduction Commitment & Climate Change	Planned	Completed
General Data Protection Regulations	Planned	Completed
Carers Act	Planned	Completed
Local Action Partnerships	Planned	Completed

Audit Subject	2018/19 Audit Plan	2018/19 Status
Community Empowerment	Planned	Completed
Audit Subject	2018/19 Audit Plan	2018/19 Status
Inclusion Services	Planned	Completed for 2018/19; consultancy proposed to continue into 2019/20
Corporate Risk Management	Planned	Completed
Cash Office Controls	Unplanned	Completed