

2020/21								
	Audit	Indicative Scope	Target Audit Committee	Planning commenced	Work in progress	Draft Issued	Completed	Grade
N/A	Audit Planning	Agreeing audit universe and preparation of strategic plan	Sept 2020	✓	✓	✓	✓	N/A
N/A	Audit Management	Liaison with managers and Directors and attendance at Audit & Performance Committee	Ongoing	Ongoing				N/A
	Annual Internal Audit Report	CIA's annual assurance statement to the IJB and review of governance self-assessment	June 2021					
PKIJB 20-01	Improvement Actions	To review the efficiency and effectiveness of their completion of agreed improvement actions and the ensuing reporting arrangements	June 2021					
PKIJB 20-02	Corporate Support	To ensure that arrangements are in place for the delivery of appropriate corporate support functions for the Partnership and IJB	April 2021					
PKIJB 20-03	Commissioned Service Providers	To provide assurance over arrangements for the identification and authorisation of sustainability grants	January 2021					

Previous Years' Plans								
	Audit	Indicative Scope	Target Audit Committee	Planning commenced	Work in progress	Draft Issued	Completed	Grade
2018/19								
PK04-19	PK07-17 follow-up	Follow-up of Internal Audit Report PK07-17 which highlighted a number of areas relating to Clinical and Care Governance, including those in relation to hosted services, to ensure actions have been implemented and to take into account events subsequent to the issue of that report.	Feb-19	✓	✓	✓	✓	N/A
PK05-19	Performance management	Accurate, relevant and reliable reporting against strategic plan objectives and core integration indicators. Compliance with DL 2016 (05) - Guidance for Health and Social Care Integration Partnership Performance Reports	Feb-19	In order to avoid any duplication of audit effort and scrutiny arising from the Joint Inspection, this assignment was not taken forward within the year. this assignment will be superseded by assignment PKIJB 19-02, included within 2019/20 Internal Audit Plan				N/A
PK07-19	Information Governance	Extension of testing within parent bodies' IA plans to ensure assurance systems adequately cover IJB Information Governance and GDPR.	Feb-19	✓	✓	✓	✓	N/A
2019/20								
PKIJB 19-01	Financial Risks	To provide assurance over the consideration of the financial risks for the Partnership, including engagement with statutory partners	Feb 20	✓	✓			
PKIJB19-02	Performance Management	To review arrangements for the adequacy and effectiveness of the measurement and reporting of performance.	Feb 20	✓	✓	✓	✓	B
PKIJB19-03	Improvement Actions	To review the arrangements in place for the consolidation of improvement actions; the efficiency and effectiveness of their completion and the ensuing reporting arrangements	Feb 20	✓	✓	✓	✓	N/A