

**PERTH AND KINROSS COUNCIL****Housing and Health Committee – 6 June 2012****PROMOTING CHOICE AND CONTROL: IMPLEMENTATION OF THE SOCIAL CARE (SELF-DIRECTED SUPPORT) (SCOTLAND) BILL****Report by Executive Director (Housing and Community Care)****ABSTRACT**

This report outlines the progress made in preparation for the personalisation of Community Care Services in Perth and Kinross. It details the use of transition funding in developing the necessary infrastructure to support the change, describes the model of delivery and gives indicative timescales for implementation and evaluation. This report should be read in conjunction with Committee Report Promoting Choice and Control: A model of Self-Directed Support for the People of Perth and Kinross, 2 November 2011. (Report No 11/544)

**1 RECOMMENDATIONS**

It is recommended that Committee:

- 1.1 Note the content of this report and support Housing and Community Care in the challenge of the transformational change required to establish a new culture of empowered citizens.
- 1.2 Support the four options for Self-Directed Support outlined in Section 2.4 of the report.
- 1.3 Instruct the Executive Director, Housing and Community Care, to submit an Implementation Plan, including agreed actions on the use of funding, in conjunction with Education and Children's Services (ECS) to a future Committee.
- 1.4 Instruct the Executive Director, Housing and Community Care, to progress the required activity, committing resources to recruitment as necessary.

**2 BACKGROUND**

- 2.1 On 2 November 2011 Health and Housing Committee approved the development of a model of Self-directed Support (SDS) (Report No 11/544) in line with the National Strategy. The Social Care (Self-Directed Support) (Scotland) Bill is now at the consultation stage with Royal Assent expected in November 2012, a date for implementation being set when the Bill Steering Group next meets in June 2012. Thereafter Ministerial Guidance will be developed and a national workforce development programme implemented during 2013. Councils across Scotland are at different stages of activity locally and to support and encourage a state of readiness for full implementation, the Scottish Government has announced funding of £23 million over the period 2012 - 2015, the Perth and Kinross allocation being £754,000.

## **2.2 Creation of Infrastructure and use of Transitional funding**

This change will be truly transformational in its impact by transferring much of the control over how assessed need and agreed outcomes are met, to clients and carers. The flexibility they will seek is likely to require significant development in the social care market, to generate opportunities for business and social enterprise development, to make greater demands on local communities, and to substantially increase volunteering.

## **2.3 The Model of Delivery**

Reablement will continue to be the fundamental first stage to ensure people are supported to become as independent as possible. If longer term support is required and Eligibility Criteria are met, an Outcomes focussed assessment will be undertaken agreeing outcomes that will improve health, wellbeing, social inclusion, independence and responsibility in line with the National Framework for Community Care.

Support planning with the person and their family will take place exploring the range of options to achieve agreed outcomes. The 4 options for practical and financial management of the support will be explained giving families time to consider what would work best for them. The Resources Allocation Systems will be applied, which will give an indication of the level of funding available and the support will then be put in place by the family and/or Community Care staff depending on the option chosen. Appropriate management of risk will be fundamental to all work undertaken. Ongoing monitoring and reviewing will ensure that the achievement of outcomes is monitored and level of support is adjusted as required.

## **2.4 Four options for Self-directed Support have been outlined in the Bill:-**

Option 1: the local authority makes a direct payment to the supported person in order that the person can then use that payment to arrange their support.

Option 2: the supported person chooses their support and the local authority makes arrangements for the support on behalf of the supported person.

Option 3: the local authority selects the appropriate support and makes arrangements for its provision by the local authority.

Option 4: a mix of options 1, 2 and 3 for specific aspects of a person's support. This is to recognise that some individuals may wish to take one of the options for particular aspects of their support needs, but to receive their remaining support under one or other of the remaining options.

## **2.5 Demonstrator sites have identified areas where investment will be necessary to create the environment required for successful implementation. These are reinforced in the Financial Memorandum accompanying the bill and include:-**

- Bridging Finance
- Leadership
- Commissioning and Contracting

- Systems – such as IT and accounting
  - Development of information material
  - Administration – including back office requirements
  - Reporting requirements
- 2.6 In addition, frontline operational capacity will need to be increased to take account of the additional work at assessment and review stage to introduce this new way of working to clients and their families. Significantly more time will be required to seek out individualised solutions than to arrange for Council or previously commissioned services to be accessed. It is expected that, from April 2015 when transitional funding is no longer available, additional resources will be funded through efficiencies gained through the implementation of SDS.
- 2.7 While much of the initial development work is already done in preparation for the first phase, systems and processes require to be set up, tested, revised, communicated and embedded so that SDS is woven into the fabric of Community Care. Significant additional capacity is required to ensure that robust and sustainable changes are achieved during the 3 year transition period.

### **3 PROPOSALS**

#### **Implementation of 1<sup>st</sup> Phase**

- 3.1 Training will be completed by early summer for the 1<sup>st</sup> phase which it is proposed will take place in the North Locality across all client groups. Young people with Learning Disabilities receiving across Perth and Kinross day support will also be included in the 1<sup>st</sup> phase.
- 3.2 It is proposed that evaluation will take place when 100 people have taken up the option of this new way of receiving support. Analysis of performance information will be used to inform adjustments to the model and examine its sustainability for the future.
- 3.3 It is proposed that a peer audit with another local authority will inform the development of a best practice model based on customer feedback, tested systems, processes, and financial management.

### **4 CONSULTATION**

- Education and Children's Services
- Private and Third Sector Providers of Social Care
- Legal Services
- Procurement Services
- Corporate Financial Audit

### **5 RESOURCE IMPLICATIONS**

The change will be managed within available budgets in addition to transitional funding as outlined in Section 2.

## **6 COUNCIL CORPORATE PLAN OBJECTIVES 2009-2012**

The Council's Corporate Plan 2009-2012 lays out five Objectives which provide clear strategic direction, inform decisions at a corporate and service level and shape resources allocation. Those relevant to this report are:-

- (i) Healthy, Caring Communities
- (ii) Confident, Active and Inclusive Communities

## **7 EQUALITIES IMPACT ASSESSMENT (EqIA)**

These recommendations will be applied universally across client groups increasing the level of Choice, Control and Personalisation for everyone.

## **8. STRATEGIC ENVIRONMENTAL ASSESSMENT**

The matters presented in this report were considered under the Environmental Assessment (Scotland) Act 2005 and no further action is required as it does not qualify as a PPS as defined by the Act and is therefore exempt.

## **9 CONCLUSION**

Perth and Kinross, as one of the leading Councils in Scotland, must move forward to offer its citizens the involvement, choice and control advocated in the principles of the Social Care Self-directed Support Bill which are, clearly, in line with community planning objectives. Significant infrastructure change is necessary to achieve this. Approval of the proposals outlined will afford the opportunity to test the model and direct the future of SDS in Perth and Kinross for the future.

**DAVID BURKE**

**Executive Director (Housing and Community Care Services)**

**Note:** No background papers, as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information) were relied on to any material extent in preparing the above report.

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