

AUDIT AND PERFORMANCE COMMITTEE OF THE PERTH AND KINROSS INTEGRATION JOINT BOARD

Minute of Meeting of the Audit and Performance Committee of the Perth and Kinross Integration Joint Board (IJB) held via Microsoft Teams on Monday 13 September 2021 at 9.30am.

Present: Councillors C Purves (Chair) and J Duff (Perth and Kinross Council), P Kilpatrick and D McPherson (substituting for R Erskine) (Tayside NHS Board), and M Summers (substituting for B Campbell, Carer Public Partner).

In Attendance: Councillor E Drysdale (Chair of IJB); B Benson (Vice-Chair of IJB); G Paterson, Chief Officer, J Smith, Head of Finance and Corporate Services, C Jolly, E Devine, Z Robertson, F Low, M Grant and P Jerrard (all Perth and Kinross Health and Social Care Partnership); J Clark, Chief Internal Auditor; and S Hendry, K Molley, M Pasternak and A Brown, Corporate and Democratic Services (Perth and Kinross Council).

Apologies: R Erskine (Tayside NHS Board); B Campbell (Carer Public Partner); D Mitchell (Perth and Kinross Health and Social Partnership).

Councillor Purves, Chair.

1. WELCOME AND APOLOGIES

Councillor Purves welcomed all those present to the meeting and apologies were submitted and noted as above.

2. DECLARATIONS OF INTEREST

There were no Declarations of Interest made in terms of the Perth and Kinross Integration Joint Board Code of Conduct.

3. MINUTE OF PREVIOUS MEETING

3.1 MINUTE OF MEETING OF THE AUDIT AND PERFORMANCE COMMITTEE OF 21 JUNE 2021

The minute of meeting of the Audit and Performance Committee of the Perth and Kinross Integration Joint Board of 21 June 2021 was submitted and approved as a correct record.

3.2 MINUTE OF SPICAL MEETING OF THE AUDIT AND PERFORMANCE COMMITTEE OF 2 AUGUST 2021

The minute of meeting of the Audit and Performance Committee of the Perth and Kinross Integration Joint Board of 2 August 2021 was submitted and approved as a correct record.

3.3 ACTION POINTS UPDATE

The Action Points Update (Report G/21/104) was submitted and updates provided thereon.

3.4 MATTERS ARISING

There were no matters arising.

4. PERFORMANCE

4.1 2020/21 FINANCIAL POSITION

There was submitted a report by the Head of Finance and Corporate Services (G/21/105) providing an update on the 2021/22 projected year-end out-turn based on financial performance for the four months to 31 July 2021.

J Smith advised that the format of this regular report has changed to attempt to simplify the continuing complex financial position and aims to strengthen openness and transparency.

The actual spending to 31 July 2021 shows an overall underspend of £232k is forecast. For health, a breakeven position is forecast which relies on using only £0.833m of the £1.400m of NHS Tayside bridging finance due to a higher health 2020/21 underspend than planned. Discussions are ongoing with NHS Tayside regarding the unused bridging monies, although indications show that this may need to be passed back to offset the forecast overspend on Inpatient Mental Health.

Bob Benson noted that £0.260m out of the £2.000m savings has been achieved and if there were any new savings or transformation projects now being considered to improve this. The Head of Finance and Corporate Services stated that this level of slippage was anticipated and planned for with the bridging finance in place. This reflects the ability of Officers being able to deliver on savings whilst responding to a pandemic. The Chief Officer concurred with this and commented that the experience of the last 18 months has shown that we will have to forensically review the affordability of previous commitments through the lens of Covid19. This will have the possibility to create other opportunities for transformation as we move forward.

Councillor Purves discussed the aforementioned position regarding bridging finance being returned to NHS Tayside to offset the forecast Inpatient Mental Health overspend and expressed his concern if this was the case as this is money which could be used in Perth & Kinross to transform care and shift the balance of care. The Chief Officer noted Councillor Purves' comments and advised that this will help to inform the discussions taking place.

Resolved:

- (i) The overall projected £0.236m underspend after the application of reserves, be noted.
- (ii) The forecast Covid-19 Response & Remobilisation costs of £8.821m, be noted.
- (iii) It be noted that £4.587m of the above costs in (ii) will be met by the IJB Covid-19 Reserve and that this assumes the balance will be covered in full by additional Scottish Government funding;
- (iii) The update regarding the IJB reserves position, be noted;
- (iv) It be noted that there may be a potential future impact from financial risks on the financial forecast, against which mitigating actions continue to be identified.

4.2 KEY STRATEGIC PERFORMANCE INDICATOR REPORT FOR THE PERIOD TO JUNE 2021: QUARTER 1

There was submitted a report by the Chief Officer (G/21/106) providing an update on the Health and Social Care Partnership's performance for the quarter one period to 30 June 2021 including progress against the core set of integration performance indicators.

The Chief Officer commented that the performance demonstrated in this report is relatively good but that the Partnership is not being complacent and continues to seek mitigating actions in some areas where performance is being impacted, as well as some ongoing work to ensure that data is robust and valid. Moving forward the Partnership will continue to engage with the Angus and Dundee HSCPs to be in a position to report on services hosted in those areas and vice versa. Councillor Purves advised that it is his intention to write to the Chairs of the other IJB Audit Committees on the issue of information sharing to enable performance reporting for services Hosted in the other Tayside IJBs and for services hosted in Perth & Kinross.

Councillor Duff commented on performance indicator NI19 in relation to delay in discharge from hospital and that the performance seems disappointing and queried if this is replicated Tayside wide. The Chief Officer stated that Dundee and Perth & Kinross have increased numbers of delays also and this is reflective of a national trend so we are not an outlier in Tayside or in Scotland. The Scottish Government has initiated a range of actions to determine what is required to support discharge as we move forward.

Councillor Duff further queried the A&E attendance performance indicator and the increase in numbers shown here. The Chief Officer advised that this has naturally increased as a consequence of lockdown easing with C Jolly stating that the baseline last year was very low due to lockdown so the figures have risen as could be expected when compared to last year's low point, but this will continue to be monitored.

Resolved:

The Health and Social Care Partnership's performance for the period to July 2021, be noted.

5 GOVERNANCE & ASSURANCE

5.1 STRATEGIC RISK MANAGEMENT UPDATE

There was submitted a report by the Chief Officer (G/21/107) providing an update on the Integration Joint Board Strategic Risk Register and the improvement actions being taken to mitigate the risks.

Bob Benson commented on the strategic risk concerning the Viability of External Providers and if staff in this sector were leaving for more attractive options elsewhere. The Chief Officer stated this was a matter of concern and the Partnership is looking at ways of ensuring sustainability from the independent sector and of building community capacity. The challenge here is more acute in rural areas than urban areas. Hospitality reopening is also providing a number of different options for people in the care sector who may have become fatigued because of the pandemic response. The Chief Officer advised that the Executive Management Team will take this challenge into account and consider this risk and any changes will come back to the Committee at a future meeting.

Resolved:

- (i) The IJB's Strategic Risk Register and Strategic Risk Improvement Plan as detailed in Report G/21/107, be noted.
- (ii) The current position of the IJB's strategic risk exposure scores, be noted.
- (iii) The inclusion of the new strategic risk in relation to Partnership Premises, be noted.

5.2 PARTNERSHIP IMPROVEMENT PLAN UPDATE

There was submitted a report by the Chief Officer (G/21/108) providing an update on progress made against the actions within the Partnership Improvement Plan.

Resolved:

The progress towards the achievement of actions within the Partnership Improvement Plan, be noted.

5.3 AUDIT RECOMMENDATIONS UPDATE

There was submitted a report by the Head of Finance and Corporate Services (G/21/109) providing an update on progress on the implementation of all internal and external audit recommendations arising since the formal inception of the Integration Joint Board on 1 April 2016.

Resolved:

The progress made to date on implementing agreed recommendations, be noted.

5.4 INTERNAL AUDIT PROGRESS REPORT

There was submitted a report by the Chief Internal Auditor (G/21/110) providing an update on progress in relation to Internal Audit's planned activity.

Resolved:

- (i) The progress made with the delivery of the 2019/20 and 2020/21 plans as detailed in Appendix 1 to Report G/21/110, be noted.
- (ii) The Performance Indicators as described in Section 3 of the report were agreed;
- (iii) The outcome of the review of the Internal Audit Plan for Perth & Kinross Council and NHS Tayside, be noted.

6 AUDITED ANNUAL ACCOUNTS 2020/21

6.1 ASSURANCES RECEIVED FROM PARTNERS

There was submitted a report by the Head of Finance and Corporate Services providing assurance on the governance arrangements operated by the IJB's partners.

The Head of Finance and Corporate Services drew attention to the delay in receiving official assurance from Dundee IJB due to their external audit not expected for completion before the end of November. However internal audit have provided Dundee IJB with assurances following their review. J Smith advised that this assurance will be brought forward to the next Audit and Performance Committee meeting.

Resolved:

- (i) It be noted that the IJB has issued confirmation of the adequacy and effectiveness of the governance arrangements in place within Perth and Kinross IJB for 2020/21 to NHS Tayside, Perth and Kinross Council and the 2 Tayside IJBs.
- (ii) It be noted the position regarding confirmation of the assurance from NHS Tayside and Perth and Kinross Council;
- (iii) It be noted the position regarding confirmation of the assurance from Dundee and Angus IJBs;
- (iv) It be noted the specific assurance from NHS Tayside in relation to Clinical Care Governance;
- (v) It be noted that the status of assurances from NHS Tayside, Perth and Kinross Council, Dundee IJB and Angus IJB is consistent with the contents of the IJB's Governance Statement in the audited Annual Accounts.

6.2 AUDITED ANNUAL ACCOUNTS 2020/21

There was submitted a report by the Head of Finance and Corporate Services (G/21/112) presenting the Integration Joint Board's (IJB) Audited Annual Accounts for the financial year 2020/21 in accordance with the 2020 CIPFA Code of Practice on Local Authority Accounting. The accounts also comply with the Local Authority Accounts (Scotland) Regulations 2014.

J Smith provided the Committee with an overview of the Audited Annual Accounts and expressed gratitude to the Partnership's Finance Team for their work in preparing the accounts in extraordinary circumstances as well as to KPMG and Perth & Kinross Council's Design Team for their flexibility and dedication.

Councillor Duff also wished to formally recognise, on behalf of the IJB, the achievements of all for the getting the accounts delivered and approved within the timeframes.

Resolved:

- (i) The contents of KPMG's Annual Audit Report to Members of Perth & Kinross IJB and the Controller of Audit on the 2020/21 Audit, be noted;
- (ii) The Audited Annual Accounts for 2020/21 as detailed in Appendix 2 to report (G21/112), be approved;
- (iii) The Letter of Representation be approved for signature by the Head of Finance and Corporate Services.

7. FOR INFORMATION

There were submitted and noted the following reports for information:

7.1 AUDIT & PERFORMANCE COMMITTEE WORK PLAN 2021/22 (G/21/113)

7.2 AUDIT & PERFORMANCE COMMITTEE RECORD OF ATTENDANCE 2020/21 (G/21/114)

8. DATES OF FUTURE MEETINGS

13 December 2021 at 9.30am
7 March 2022 at 9.30am

9. PRIVATE DISCUSSION

There was no private discussion between members of the Committee and the Chief Internal Auditor or External Auditor.

10. DATE OF NEXT MEETING

Monday 13 December 2021 at 9.30am.