

Appendix 2

Work Commenced from 2018/19 Internal Audit Work Plan as at December 2018

Audit No.	Audit Title	Original Anticipated Audit Committee	Assignment brief approved	Factual accuracy confirmed	Draft Report issued	Final Report issued	Audit Committee Date ^
18-01	Initial Review of Controls following a Significant Fraud	May 2018	April 2018	April 2018	Outcome provided to Audit Committee. No separate report.		May 2018
18-02	Detailed Review of Controls following a Significant Fraud	November 2018	April 2018	December 2018	December 2018	December 2018	January 2019
18-03	IDEA Implementation	March 2019	April 2018				March 2019
18-04	Arms Length External Organisations	January 2019					May 2019
18-05	Transformation	March 2019	April 2018				May 2019
18-06	Corporate Governance	March 2019					March 2019
18-07	Commissioning Strategy	March 2019					March 2019
18-08	(a) Financial Assessment & Charging Follow Up (b) Further Follow Up of Financial Assessment & Charging	June 2018 March 2019	April 2018	May 2018	May 2018	May 2018	June 2018 May 2019
18-09	Bus Service Operators Grant	September 2018	Completed and grant claim certified. No report required on this occasion.				
18-10	Bus Service Operators Grant	March 2019	It is not anticipated that reports will be required to be issued for the certification of grant claims. Reports will only be issued where weaknesses in controls are identified which require action.				
18-11	School Estate Strategy	November 2018	September 2018	November 2018	December 2018	December 2018	January 2019

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18-12	Management of Contracts	September 2018	July 2018	August 2018	August 2018	September 2018	November 2018
18-13	Workforce Planning	January 2019					May 2019
18-14	Welfare Reform	January 2019					March 2019
18-15	Payroll	November 2018	September 2018	December 2018	December 2018	December 2018	January 2019
18-16	Sales Ledger	November 2018	November 2018	December 2018	December 2018		January 2019
18-17	Residential Services	January 2019	November 2018	December 2018	December 2018	December 2018	January 2019
18-18	Tay Cities Deal	March 2019					May 2019
18-19	LEADER	November 2018	September 2018	November 2018	November 2018	November 2018	January 2019
18-20	Carbon Reduction Commitment & Climate Change	March 2019					March 2019
18-21	General Data Protection Regulations	May 2019					March 2019
18-22	Carers Act	May 2019					May 2019
18-23	Local Action Partnerships	May 2019					March 2019
18-24	IDEA Continuous Auditing	March 2019					March 2019
18-25	Community Empowerment	March 2019					March 2019
18-27	Inclusion Services	May 2019					March 2019

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18-28	Corporate Risk Management	March 2019					March 2019

^ Dates in bold are actual Audit Committee dates. Others are anticipated dates for reporting to Audit Committee.