



## **PERTH AND KINROSS INTEGRATION JOINT BOARD**

### **AUDIT & PERFORMANCE COMMITTEE**

**14<sup>th</sup> SEPTEMBER 2020**

### **UPDATE: AUDIT RECOMMENDATIONS**

**Report by Chief Financial Officer (Report No. G/20/82)**

#### **PURPOSE OF REPORT**

This report provides the Audit & Performance Committee with progress on the implementation of all internal and external audit recommendations arising since the formal inception of the Integration Joint Board (IJB) on 1<sup>st</sup> April 2016.

#### **1. BACKGROUND**

It is best practice for Audit Committees to receive regular updates on progress in implementation of audit recommendations. A full review has therefore been undertaken on all internal and external audit recommendations since inception of the IJB on 1<sup>st</sup> April 2016. This will be updated on a regular basis and presented to each Audit and Performance Committee meeting.

#### **2. UPDATE / SUMMARY OF FINDINGS**

At the Audit and Performance Committee meeting in June 2020 it was advised that all audit recommendations concerning Clinical, Care and Professional Governance will be included in all future iterations of this report. As such, this report takes cognisance of the recent Internal Audit Follow up report on Clinical, Care and Professional Governance (PK04/19).

In terms of progress against recommendations, the follow up work undertaken indicates the following:

Status	Recommendations (excluding CCG)	CCG Recommendations	Total
Complete	30	8	31
Part Complete	1	0	1
Not Yet Due	0	0	0
Overdue	5	1	13
<b>Total</b>	<b>36</b>	<b>9</b>	<b>45</b>

There has been three audits completed since the last report to this Committee:

- Risk Maturity Assessment - Report No. PK04/18
- Follow Up of PK07/17 Clinical, Care & Professional Governance - Report No. PK04/19
- Internal Audit Annual Report 2019/20

Ten new recommendations have been identified from these new audits and these have been incorporated into this update.

**Appendix 1** lists all recommendations (excluding Clinical, Care and Professional Governance) either overdue, not yet due, completed or ongoing since the last report to this Committee.

**Appendix 2** lists all Clinical, Care and Professional Governance recommendations either overdue, not yet due, completed or ongoing.

**Appendix 2** lists all recommendations which are complete and have been previously reported as complete to this Committee.

### 3. RECOMMENDATION

The Audit & Performance Committee are asked to note the progress made to date on implementing agreed recommendations.

#### Author(s)

Name	Designation	Contact Details
Jane M Smith	Chief Financial Officer	<a href="mailto:janemsmith@nhs.net">janemsmith@nhs.net</a> 01738 459556 07966 875713