

**PERTH AND KINROSS INTEGRATION JOINT BOARD****AUDIT & PERFORMANCE COMMITTEE****6 MARCH 2018****CLINICAL, CARE & PROFESSIONAL GOVERNANCE PROGRESS REPORT****Report by Chair of Clinical Care Governance****PURPOSE OF REPORT**

The purpose of this report is to provide an update with regards to the arrangements for Care & Professional Governance across the partnership, and activity and progress to date led by the Care & Professional Governance Forum.

1. RECOMMENDATION(S)

That the Committee note the activity and progress made to date regarding the partnership arrangements for Care & Professional Governance.

2. SITUATION / BACKGROUND

On the 10th April 2015, the Perth and Kinross Health and Social Care Integration Pathfinder Board endorsed an integrated framework for Clinical, Care & Professional Governance. This framework is called “*Getting it Right for Everyone – a Clinical, Care and Professional Governance Framework*”, and has been agreed as the underpinning framework across the NHS and the three Local Authorities in Tayside.

The framework also outlines a structure for assurance and scrutiny which identifies a need for a Care & Professional Governance Forum within each partnership. The forum has responsibility to ensure that there are effective and embedded systems for Clinical, Care & Professional Governance in all services within each partnership.

3. ASSESSMENT

Since the last report to this Committee in March 2017, the P&K HSCP Care & Professional Governance Forum (R2) has met on five occasions. In August 2017, the forum welcomed its new co-chair, Jacqueline Pepper, who is the new Chief Social Work Officer for Perth & Kinross Council.

Internal Audit regarding Clinical, Care & Professional Governance

The Internal Audit service for NHST and the Tayside IJB’s carried out an audit during 2016 to evaluate whether there are appropriate systems in place for

Clinical, Care & Professional Governance within each of the three Tayside IJB's.

P&K IJB received the findings of this audit in December 2017, the overall result being a **“B” – Broadly Satisfactory**. The audit identified nine recommendations for improvement, and these can be summarised as being:

- That the IJB be provided the opportunity to comment on (and approve, if appropriate):
 - The new arrangements agreed for the operation of the “R1” Group, which will consist of three meetings per year of the NHST Clinical Quality Forum to focus on Clinical & Care Governance and assurances and learning from the three HSCP's
 - The revised terms of reference for the P&K Care & Professional Governance Forum (2018-2019)
- That regular reporting (at least annually) continues from the P&K Care & Professional Governance Forum to both the Audit & Performance Committee and the IJB.
- That further clarity is established regarding the domain subgroups and this is included in the revised terms of reference.
- That the group workplan includes detail of sufficient reporting from each of the partnerships services.
- That the P&K Care & Professional Governance Forum receives appropriate assurances regarding hosted services, in particular Inpatient Mental Health.
- That the revised terms of reference for the P&K Care & Professional Governance Forum (2018-2019) include details of deputies for group members.
- That services provide assurances that they are working towards a “green” position on each of the questions with the GAP analysis carried out during 2016.
- That the P&K Care & Professional Governance Forum has regular consideration of relevant risks with clear routes for escalation.

These recommendations were discussed at the P&K Care & Professional Governance Forum meeting on the 9th February, and responses agreed for each of the recommendations.

It is anticipated that we will be able to achieve all the recommendations identified, and in many instances work was ongoing to achieve these prior to the audit results being made available.

Service Annual reporting to the P&K Care & Professional Governance Forum

At each meeting, services are provided with the opportunity to raise any service exceptions which they feel are important to share. In addition to this, a reporting schedule has been created to allow for all partnership services to submit detailed activity and assurances to the forum annually.

The annual report template was first tested with the Public Dental Service in August 2017, and adopted by the forum with some minor amendments following positive feedback.

Ongoing assurances and topics discussed by the Forum

In addition to service annual reporting, the forum has considered and discussed a wide range of topics over the last six months.

Themed according to the six domains of the Clinical, Care and Professional Governance Framework, these have been:

Information Governance

- Discussion regarding a recent Local Adverse Event Review, within which there was a learning point regarding the process staff should follow when Police Scotland become involved in an incident. The process ensures that patient information is safeguarded and only shared when appropriate, as well as ensuring that staff receive the support they require if asked to give a formal police statement. Forum members were asked to ensure this process is now embedded in practice within their area.

Professional Regulation & Workforce Development

- Partnership services have provided assurances with regards to this domain within their service update report to each meeting.

Patient, Service User, Carer and Staff Safety

- The forum discussed and agreed a proposal titled “A multi-agency approach to Significant Case Reviews”. It seeks to complement and build on single agency arrangements by adding a multi-agency approach to enable partner agencies to work collaboratively to learn lessons from cases where there may have been multi agency failings and to use this learning to improve future joint working. The forum agreed that this approach is a logical way to proceed, and there are recent examples of joint reviews which demonstrate the value of this approach in practice.
- The forum discussed the Lampard Report, which was commissioned by the Department of Health in England after investigations into matters relating to Jimmy Saville. The Lampard report offers recommendations, and although these are for the NHS in England & Wales, the Scottish Government has chosen to give careful consideration to how the recommendations may be applied in NHS Scotland. All NHS Boards have been asked to consider the recommendations and identify and necessary local actions with regards to processes and procedures with regards to volunteers. The letter also mentions that a number of actions are of relevance for IJB's.

- A standing item on the agenda for the forum is to scrutinise a summary of significant adverse events that have occurred since the last meeting, from both a Health and Locality Authority standpoint. Actions arising are thereafter monitored, measured and reported.

Patient, Service User, Carer and Staff Experience

- The forum were provided an overview and discussed the new Health and Social Care Standards. These standards will be used as part of future inspection visits by Healthcare Improvement Scotland and the Care Inspectorate.
- A standing item on the agenda for the forum is to hear a summary of complaints completed and ongoing since the last meeting, from both a Health and Locality Authority standpoint.

Regulation, quality and effectiveness of care

- The results and findings from two sets of visits from the Mental Welfare Commission were discussed. These visits were to the P&K Community Hospitals, and the Psychiatry of Old Age wards at Murray Royal Hospital. The results of both visits were overall quite positive, and the forum asked for feedback at future meetings regarding progress with the actions identified.
- The forum has discussed the importance of maintaining an overview of any upcoming external inspections to any partnership service, as well as seeking assurances that any actions identified from any inspections are completed.

Promotion of Equality & Social Justice

- Partnership services have provided assurances with regards to this domain within their service update report to each meeting.

4. PROPOSALS

- To provide a further update report to the Audit & Performance Committee in line with established reporting schedules.
- For the P&K Care & Professional Governance Forum to progress with actions to meet the recommendations made within the recent Internal Audit report.

5. CONCLUSION

The Care & Professional Governance Forum continues to seek assurances regarding adherence to the six overarching domains, and will continue to evolve to ensure it fulfils its requirements within the IJB.

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