

AUDIT AND PERFORMANCE COMMITTEE OF THE PERTH AND KINROSS INTEGRATION JOINT BOARD

Minute of hybrid meeting of the Audit and Performance Committee of the Perth and Kinross Integration Joint Board (IJB) held in the Council Chambers on Monday 13 March 2023 at 9.00am.

Present: B Hamilton (Chair) and M Black (both Tayside NHS Board), Councillors D Illingworth and S McCole (both Perth and Kinross Council) and S Watts (Third Sector Forum).

In Attendance: D Henderson and L Hunter (both IJB Members); J Pepper, Chief Officer – Health and Social Care Partnership, D Mitchell, Acting Chief Financial Officer, C Jolly, M Grant, K Ogilvy, Z Robertson, H Dougall, C Lamont, and P Jerrard (all Perth and Kinross Health and Social Care Partnership); J Clark, Chief Internal Auditor; M Bruce (Audit Scotland - External Auditor); and A Taylor, M Pasternak and A Brown, Corporate and Democratic Services (Perth and Kinross Council).

Apologies: B Campbell (Carer Public Partner).

B Hamilton, Chair.

1. WELCOME AND APOLOGIES

B Hamilton welcomed all those present to the meeting and an apology for absence was submitted and noted as above.

2. DECLARATIONS OF INTEREST

There were no Declarations of Interest made in terms of the Perth and Kinross Integration Joint Board Code of Conduct.

3. MINUTE OF PREVIOUS MEETING

3.1 MINUTE OF MEETING OF THE AUDIT AND PERFORMANCE COMMITTEE OF 22 NOVEMBER 2022

The minute of meeting of the Audit and Performance Committee of the Perth and Kinross Integration Joint Board of 22 November 2022 was submitted and approved as a correct record.

3.2 ACTION POINTS UPDATE

The Action Points Update (Report G/23/18) were submitted, and updates provided thereon.

3.3 MATTERS ARISING

There were no matters arising.

4. PERFORMANCE

4.1 2022/23 FINANCIAL POSITION

There was submitted a report by the Interim Chief Finance Officer (G/23/19) providing an update on the projected financial position based on the period 1 April 2022 to 31 December 2022 (Month 9).

Councillor Illingworth referred to the Scottish Government reclaiming back reserves and queried why they were able to do this whilst we are still showing a red risk for on the risk register for financial stability. In response, D Mitchell confirmed these reserves relate specifically to the Covid-19 reserve and now that the public health guidance has been changed and these costs no longer to be incurred, they were able to reclaim these funds.

Councillor McCole referred to Paragraphs 3.19 and 3.20 in the report, specifically around General Medical Services and queried whether the further discussions mentioned regarding lead partner and financial risk sharing arrangements had taken place or whether these were in progress. In response, J Pepper confirmed there has been no timeline for the discussions yet.

Resolved:

- (i) The overall projected outturn for 2022/23 based on financial performance to 31 December 2022, as detailed in Report G/23/19, be noted.
- (ii) The projected Covid-19 expenditure and the update on Covid-19 reserves, as detailed in Report G/23/19, be noted.
- (iii) The allocation of funding from the Winter Resilience reserve to NHS Tayside, to support whole system pressures, be noted.

4.2 KEY STRATEGIC PERFORMANCE INDICATOR REPORT

There was submitted a report by the Chief Officer (G/23/20) providing an update on strategic performance against the core set of integration indicators and including key performance updates in respect to Community Mental Health and Wellbeing and Learning Disability and Autism.

B Hamilton referred to readmission figures and queried whether there were any investigations being carried out to see if people are being discharged too early. In response, C Jolly confirmed that from a data investigation viewpoint this was the first opportunity they have had to break it down in this way. He also confirmed that colleagues in the Older People's Care Group are looking at the whole Older People's Pathway and it is the intention to bring forward a similar KPI report on Older People to a future meeting.

Resolved:

- (i) The Health and Social Care Partnership's performance to date against key integration indicators, as detailed in Report G/23/20, be noted.

- (ii) The progress made in respect to the delivery of Strategic Delivery Plan outcomes, be noted.

5. GOVERNANCE AND ASSURANCE

5.1 STRATEGIC RISK MANAGEMENT UPDATE

There was submitted a report by the Chief Officer (G/23/21) providing updates on (1) the Integration Joint Board Strategic Risk Register; (2) the progress of the improvement actions being taken to improve the overall control environment to further mitigate risk; and (3) on new or emerging risks and any material changes to existing risks.

B Hamilton referred to SR11 – Primary Care and queried whether the rating of 16 in Perth and Kinross was correct as across Tayside that rating is now a 25 which is High Risk in light of Invergowrie Practice and other issues. In response, J Pepper stated that in terms of the preparation of reports there can be a bit of lag and confirmed that when the risks were reviewed for Primary Care the notification regarding Invergowrie had not yet been factored in.

Resolved:

- (i) The IJB's Strategic Risk Register and Strategic Risk Improvement Plan as detailed in Report G/23/21, be noted.
- (ii) The current position of the IJB's strategic risk exposure scores as detailed at Section 3 of Report G/23/21, be noted.

5.2 PARTNERSHIP IMPROVEMENT PLAN – UPDATE

There was submitted a report by the Chief Officer (G/23/22) providing an update on progress against the actions within the Partnership Improvement Plan.

P Jerrard advised of a typo in the report at paragraph 3.3, Five should in fact read Two.

Resolved

The progress towards the achievement of actions within the Partnership Improvement Plan.

5.3 INTERNAL AUDIT PROGRESS REPORT

There was submitted a report by the Chief Officer (G/23/23) providing an update on progress in relation to Internal Audit's planned activity.

Resolved:

The progress made with the delivery of the 2021/22 and 2022/23 plans as detailed in Appendix 1 of Report G/22/169, be noted.

5.4 INTERNAL AUDIT REPORTS

5.4.1 INTERNAL AUDIT REPORT – SUSTAINABILITY OF PRIMARY CARE

There was submitted a report by the Chief Officer (G/23/24) presenting the Final Internal Audit Report relating to the Perth and Kinross Primary Care Improvement Plan.

B Hamilton sought some reassurance that although this is hosted by Angus Council that we were fully engaged in the process, and more specifically in the development of the Primary Care Board. In response, H Dougall confirmed that we were active in the discussions around this.

Resolved:

The contents of the final report for the wider review of the strategic risks relating to Primary Care, incorporating the review of Perth and Kinross' Primary Care Improvement Plan as detailed in Report G/23/24, be noted.

5.5 ANNUAL AUDIT PLAN 2022/23

There was submitted a copy of the Perth and Kinross Integration Joint Board Annual Audit Plan 2022/23 (G/23/30) providing a summary of the work plan for their 2022/23 external audit.

M Bruce from Audit Scotland provided the Committee with a summary of the main headlines of the plan and answered questions from members.

Resolved:

The contents of Report G/23/30 be noted.

5.6 EXTERNAL AUDIT – PROPOSED AUDIT FEES 2022-23

There was submitted a report by the Interim Chief Finance Officer (G/23/25) presenting the proposed External Audit Fee for 2022/23 for approval.

Councillor McCole referred to the 12.6% increase in the base fee and expressed her concerns at that much of an increase and sought some assurance as to what the projected increases will be going forward. In response, D Mitchell explained that these concerns have been replicated nationally and confirmed that the Chief Finance Officers section have written to Audit Scotland highlighting concerns with the rate. She also confirmed that any further increase that would be regarded as above a normal increase would be raised by the Section and would not just be accepted.

Resolved:

- (i) Audit Scotland's explanation for the increase in base fee, be noted.
- (ii) The proposed External Audit fee for 2022/23 as detailed in Report G/23/25, be approved.

6. CLINICAL CARE GOVERNANCE

6.1 CLINICAL CARE GOVERNANCE ASSURANCE ARRANGEMENTS

There was submitted a report by the Chief Officer (G/23/26) providing assurance to the Perth and Kinross Audit and Performance Committee in respect of Clinical, Care and Professional Governance arrangements in place for delegated and hosted services managed by Perth and Kinross Health and Social Care Partnership.

B Hamilton advised that she was taking over the Chair of the NHS Care Governance Group with effect from 1st April 2023. She also requested whether it would be possible for a short exception report to be included in this report highlighting anything that has veered from the report that goes to the main Care Governance Group.

Resolved:

- (i) The responsibilities of Perth and Kinross Integration Joint Board in respect of Clinical, Care and Professional Governance and those of the IJB's partners, be noted.
- (ii) The arrangements in place for providing the IJB with assurance that effective and robust systems of Clinical, Care and Professional Governance are in place, be noted.
- (iii) That the Chief Officer confirms the effectiveness of the above systems in place in the IJB's partner organisations, be noted.

7. FOR INFORMATION

7.1 PERTH AND KINROSS IJB AUDIT AND PERFORMANCE COMMITTEE WORK PLAN 2022/23

7.2 PERTH AND KINROSS IJB AUDIT AND PERFORMANCE RECORD OF ATTENDANCE 2022/23

8. PRIVATE DISCUSSION

There was no private discussion between members of the Committee and the Chief Internal Auditor or External Auditor.

9. DATE OF NEXT MEETINGS

Monday 26 June 2023 at 9.30am