



Perth And Kinross Integration Joint Board

Audit & Performance Committee

11 December 2023

CLINICAL AND CARE GOVERNANCE ASSURANCE

Report by Chief Officer
(Report No. G/23/176)

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to provide assurance to the Perth and Kinross IJB Audit and Performance Committee in respect of Clinical, Care and Professional Governance arrangements in place for delegated and hosted services managed by Perth and Kinross Health and Social Care Partnership (PKHSCP).

2. RECOMMENDATIONS

- 2.1 The Audit and Performance Committee is asked to:
- i) Note the responsibilities of Perth and Kinross Integration Joint Board (PKIJB) in respect of Clinical, Care and Professional Governance and those of the IJB's partners;
 - ii) Note the arrangements in place for providing the IJB with assurance that effective and robust systems of Clinical, Care and Professional Governance are in place;
 - iii) Note that Perth and Kinross Council's Scrutiny & Performance Committee received a full report regarding Clinical & Care Governance (appendix 1) on 13 September 2023, where it was agreed as providing "Substantial Assurance".
 - iv) Note the exception report presented to NHS Tayside's Care Governance Committee on 5 October 2023.
 - v) Note that the Chief Officer confirms the effectiveness of the above systems in place in the IJB's partner organisations.

3. BACKGROUND

- 3.1 Perth and Kinross Integration Joint Board has a strategic commissioning role with the operational responsibility for delegated and hosted services resting with the Health and Social Care Partnership which brings together NHS Tayside and Perth and Kinross Council as the employing bodies of the staff delivering these services and for the fulfilment of their respective statutory duties.
- 3.2 The Perth & Kinross Health & Social Care Partnership (PKHSCP) Care & Professional Governance Forum (CPGF) was established at the inception of integration and has met regularly. It is chaired jointly by the Clinical Director and the Chief Social Work Officer who has delegated the role to the Interim Head of Service Adult Social Work and Social Care (Operations). The Forum provides assurance on the quality, safety and effectiveness of all services delegated to the P&K IJB. This is achieved through detailed annual reporting on all aspects of service delivery which is linked to the domains for effective clinical and care governance and the Health and Social Care Standards; along with a monthly process for the escalation of factors which are inhibiting safe care, issues and concerns; risk management; adult protection; and complaints.
- 3.3. The CPGF reports to NHS Tayside's Care Governance Committee with regular assurance and exception reports. The CPGF also reports to PKC's Scrutiny & Performance Committee with regular assurance reports. These reports seek to provide assurance to NHS Tayside and PKC that there are effective and embedded systems for Clinical, Care and Professional Governance in all services delegated to or hosted by PKIJB.
- 3.4 It should be noted that Inpatient Mental Health & Learning Disability Services and all General Adult Psychiatry medical workforce report directly to the NHS Tayside Care Governance Committee as operational responsibility is not delegated and is retained by NHS Tayside. Further, services hosted by Angus IJB and Dundee IJB also report directly to the NHS Tayside Care Governance Committee. This provides a formal mechanism for NHS Tayside to provide assurance to PKIJB that appropriate arrangements and systems are in place in respect of operational health services being managed by PKHSCP.

4. SITUATION

- 4.1 To fulfil its obligations as set out in the PKIJB Integration Scheme, the IJB requires assurance that: -
 - Arrangements are in place to provide assurance regarding the delivery of safe and effective services;
 - Arrangements are in place for professional supervision, learning, support and continuous improvement for all staff;
 - There is evidence of effective information systems and that relevant professional and service user networks or groups feed into the agreed Clinical and Care Governance and Professional Governance framework;

- Arrangements are in place for embedding mechanisms for continuous improvement of all services through application of a Clinical and Care Governance and Professional Governance Framework;
- Arrangements are in place for ensuring effective mechanisms for service user and carer feedback and for complaints handling.

5. ASSESSMENT

- 5.1 The Chief Officer can confirm that the Clinical Care Governance Reporting Framework, overseen by the PKHSCP Clinical and Professional Governance Forum, supports regular review of all services to ensure that effective and embedded Clinical, Care and Professional Governance arrangements are in place and that these arrangements can evidence continuing improvements.
- 5.2 P&K HSCP's assurance report to PKC's Scrutiny & Performance Committee on 13 September 2023 is attached at appendix 1 to evidence the above. The level of assurance recommended and accepted by the Committee was "Substantial Assurance".
- 5.3 P&K HSCP's exception report to NHS Tayside's Care Governance Committee on 5 October 2023 is attached at appendix 2, and this highlights the key exceptions since the last CGC meeting.

6. CONCLUSION

- 6.1 The Audit and Performance Committee will continue to be provided with assurance that effective Clinical, Care and Professional Governance arrangements are in place for operational services commissioned by the IJB and managed by PKHSCP.
- 6.2 This will be accomplished by the regular sharing of approved relevant extracts from minutes from the IJB's partners and any assurance and exception reports from PKHSCP in relation to Clinical, Care and Professional Governance submitted to NHS Tayside and Perth and Kinross Council.

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Appendices

Appendix 1 – Scrutiny and Performance Assurance Report: Perth and Kinross HSCP, 13 September 2023

Appendix 2 – CGC Exception Report: Perth and Kinross HSCP, 5 October 2023