



AUDIT AND PERFORMANCE COMMITTEE

30 NOVEMBER 2018

RISK MANAGEMENT PROGRESS UPDATE

Report by Chief Financial Officer (Report No. G/18/203)

PURPOSE OF REPORT

The purpose of this paper is to present the Audit and Performance Committee with a Perth & Kinross Health & Social Care Partnership (PKHSCP) Risk Register and revised Risk Management Framework.

1. BACKGROUND

On 20 September 2018 a report by the Chief Finance Officer was presented at the Audit and Performance Committee (G/18/123) in respect of a Risk Management update. This report set out a proposal to undertake a series of risk workshops across the Perth & Kinross Health & Social Care Partnership Team to develop a refreshed Strategic Risk Register for consideration by the Audit & Performance Committee. In addition it was agreed that an IJB Member workshop would be held on governance and risk in advance of the Audit & Performance meeting at the end of November

2. PROGRESS TO DATE

A series of risk management workshops have been held with members of EMT/IMT. A refreshed risk register has been developed and this is attached at Appendix 1. Risks to delivery of the strategic objectives of the IJB change over time and therefore will be kept under regular review. A further EMT meeting in January provide the opportunity for a formal 3 Month Review and Update.

Our work with EMT and IMT has provided a timely opportunity to review and update the IJB's Risk Management Framework. This is attached at Appendix 2. This includes a risk escalation process which has been agreed by PKHSCP to give clarity around risk escalation and ensure timely responses to allow risk mitigation. This has been implemented with immediate effect.

We have held two workshops with IJB Members in November covering the following:-

- Overview of IJB Governance Structure and routes for assurance
- Risk Management Training
- Review of refreshed Risk Register

To ensure that there is robust risk management and governance around each of the Strategic Programmes of Care to deliver on the Strategic Commissioning Plan a further set of risk workshops will be held with Boards by January 2019.

Work at Programme Board level to identify and develop individual risk profiles will be an early task for each Programme Board. Strategic Risks identified will be fed through into the PKHSCP Strategic Risk Profile and Operational Risk will be managed through the Service Managers. The Programme Boards will have oversight of Programme of Care risks.

3. NEXT STEPS

The risk management framework requires EMT to review red risks and their mitigation actions plans on a monthly basis. We are supporting managers with risks management expertise to develop the action plans and these will be considered by EMT in the first instance on 29 November 2018.

In parallel, we will work with each Programme Board to bring forward risks registers for review by EMT on a six weekly basis.

EMT will hold a full risk register review and update in January 2019.

4. CONCLUSION

This report sets out the progress in refreshing the Strategic Risk Register for PKHSCP and next steps.

5. RECOMMENDATION(S)

The Audit and Performance Committee are asked to:

- (i) Approve the Strategic Risk Register.
- (ii) Approve the refreshed Risk Management Framework.
- (iii) Note the next steps including the development of action plans in relation to red risks and the development of risk registers for each Programme Board.

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NOTE: No background papers, as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information), were relied on to any material extent in preparing this report.

APPENDICES

1. Strategic Risk Register
2. Risk Management Framework