

Appendix 1

Actions with a completion date up to December 2021 which have yet to be completed

Finding	Action	Action owner & Service	Date(s)	Current status	Internal Audit Opinion
<p><u>18-23 - Local Action Partnerships</u></p> <p>Action Point : 2 - Action Plans Importance: Medium Audit Committee Date: 27 March 2019</p> <p>The Communities team representative(s) and Lead Officer (if a PKC officer) on each Action Partnership should encourage it to revisit its Action Plan and ensure that the priorities are still relevant in addressing the inequalities in the area. Without a revisit the priorities in the Action Plan may no longer be appropriate and inequalities may not be captured and, therefore, addressed. Internal Audit understands that this will begin to happen after the completion of the Community Investment Fund award process.</p>	<p>The Communities team representative(s) and Lead Officer if a PKC officer on each Action Partnership should encourage it to revisit its Action Plan and ensure that the priorities are still relevant in addressing the inequalities in the area.</p>	<p>D Stokoe, Service Manager, Communities</p>	<p>Dec 2019 Mar 2020 Oct 2021 Mar 2022</p>	<p>The seven Local Action Partnerships are at various stages of updating their actions plans, with some 99% complete and others only just looking at actions for the new priorities in the LOIP. The Service anticipates that all Local Action Partnerships will have new approved plans by March 2022.</p>	<p>Accepted</p>

<p><u>16-22 - Roads Maintenance Partnership</u></p> <p>Action Point : 7b Road Maintenance Partnership Agreement Importance: Medium Audit Committee Date: March 2017</p> <p>An Enterprise and Infrastructure Committee (EIC) report of 21 January 2015 reported that the initial Road Maintenance Partnership (RMP) agreement was for a three year period expiring 31 March 2015. The relevant minutes contain a resolution for an extension for a further year and also that the agreement be subject to an ongoing review to ensure it continues to be fit for purpose. The agreement also seeks to develop systems and procedures that ensure compliance with BS EN ISO 9001/9002. At the date of audit testing no update report had been presented to the EIC and the partnership agreement had expired.</p>	<p>Once the above RMP plan has been clarified the Deputy Manager, RMP will review and update the “Obtaining Best Value in Works Contracts” procedure taking cognisance of agreed way forward in providing the service. Any reference to BS EN ISO 9001/9002 and/or the quality principles will be dependent on the outcome of the review by the collaborative working group.</p> <p>Required Evidence Of Completion : Updated Best Value procedure</p>	<p>S D'All, Roads Maintenance Partnership Manager</p>	<p>Apr 2018 Jan 2019 Nov 2019 Nov 2020 Nov 2021 Mar 2023</p>	<p>The Roads Maintenance Partnership Agreement was approved by Committee in May 2019.</p> <p>The Service has re-considered their approach to this secondary part of the action. This will be taken forward by a short-life working group which will ensure that the “Obtaining Best Value in Works Contracts” procedure is fit for purpose and delivers best value. The outcome from this review will inform the any future delivery model.</p>	<p>Accepted</p>
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<p>The partnering arrangements are supported by the “Obtaining Best Value in Works Contracts” procedures that do not refer to BS EN ISO 9001/9002. The procedures are also in need of review as the document is dated 2005 and stipulate a review period of 12 months and the approval section states “await SMT authorisation”.</p>					
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