



PERTH AND KINROSS INTEGRATION JOINT BOARD

AUDIT & PERFORMANCE COMMITTEE

16 SEPTEMBER 2019

ASSURANCES RECEIVED FROM PARTNERS

Report by Chief Financial Officer (Report No. G/19/144)

PURPOSE OF THE REPORT

In compiling the Integration Joint Board's Annual Governance statement, reference was made to receipt of assurance from NHS Tayside and Perth and Kinross Council regarding their governance arrangements noting that Perth and Kinross Integration Joint Board (IJB) is reliant on both Partners to deliver the IJB's overall aims and objectives. This report provides an update on those assurances.

1. RECOMMENDATIONS

It is recommended that the Integration Joint Board Audit & Performance Committee:-

- (i) Note that the IJB has issued confirmation of the adequacy and effectiveness of the governance arrangements in place within Perth and Kinross IJB for 2018/19 to NHS Tayside and Perth and Kinross Council;;
- (ii) Note the position re confirmation of the assurance from NHS Tayside;
- (iii) Note the position re confirmation of the assurance from Perth and Kinross Council;
- (iv) Note that the status of assurances from NHS Tayside and Perth and Kinross Council is consistent with the contents of the IJB's Governance Statement in the audited Annual Accounts.

2. BACKGROUND

- 2.1 On an annual basis the IJB has to include a Governance Statement within its Annual Accounts. Perth and Kinross IJB Audit and Performance Committee considered Perth and Kinross IJB's draft 2018/19 Governance Statement at its June 2019 meeting (paper G/19/103). Paper G/19/103 noted that Perth and Kinross IJB had to provide assurances to both NHS Tayside and Perth and Kinross Council. This was duly completed in September 2019 and are attached at Appendix 1 & 2.

- 2.2 The draft NHS Tayside Governance Statement 2018/19 concludes “that corporate governance was operating effectively throughout the financial year ended 31 March 2019”. The Chief Internal Auditor of NHS Tayside has also concluded in the NHS Tayside Annual Internal Audit Report 2018/19 that the NHS Tayside Board has adequate and effective internal controls in place. The NHS Tayside Governance Statement (and Annual Accounts) were subsequently approved by NHS Tayside thus providing the required assurance to Perth & Kinross IJB regarding arrangements in place within NHS Tayside.
- 2.3 Perth & Kinross Council Scrutiny Committee approved a Governance Statement in June 2019 which also concludes positively on the adequacy and effectiveness of internal controls, accompanied by an Annual Internal Audit Report which also concludes that reasonable reliance can be placed on the Council’s risk management and governance arrangements and systems of internal control for 2018/19, subject to management implementation of the agreed actions detailed in Internal Audit reports. A letter has been issued to Perth & Kinross IJB confirming this and is attached at Appendix 2.

3. CONCLUSIONS

The IJB’s Audit and Performance Committee should:-

- (i) Note the assurance from both parent bodies;
- (ii) Note that Perth & Kinross IJB has provided assurance to both parent bodies that adequate and effective governance arrangements were in place during 2018/19.

Author(s)

Name	Designation	Contact Details
Jane M Smith	Chief Financial Officer	janemsmith@nhs.net janemsmith@pkc.gov.uk 01738 459556 07966 875713

APPENDICES

1. Letter of Assurance to Perth & Kinross Council from PKIJB
2. Letter of Assurance to NHS Tayside from PKIJB
3. Letter of Assurance 2018/19 from Perth & Kinross Council