



PERTH AND KINROSS INTEGRATION JOINT BOARD

AUDIT & PERFORMANCE COMMITTEE

13 DECEMBER 2021

CLINICAL CARE GOVERNANCE RISK ESCALATION REPORT

Report by Chief Officer
(Report No. G/20/179)

PURPOSE OF REPORT

The purpose of this report is to:

- Identify significant operational clinical care governance risks which are likely to impact on Perth and Kinross Integration Joint Board's (PKIJB) Strategic Objectives and which therefore require to be included in the IJB Strategic Risk Register.

1. BACKGROUND

- 1.1 At their meeting on 29 September 2021, the IJB agreed a process for them to receive assurance regarding Clinical Care Governance.
- 1.2 Part of this agreed assurance process included a Risk Escalation Report from the Chief Officer identifying significant operational clinical care governance risks which are likely to impact on PKIJB's Strategic Objectives and which therefore requires to be included in the IJB Strategic Risk Register, together with mitigating actions aligned to the strategic planning responsibilities of the IJB.

2. ASSESSMENT

- 2.1 The Operational Risk Registers of the Partners have been reviewed. This review has identified 4 'very high' red risks on the Health Operational Risk Register (as set out in the August 2021 PKHSCP Report to the NHS Tayside Care Governance Committee) and 1 'very high' red risk on the Adult Social work and Social Care Operational Risk Register (to be included in the next report from PKHSCP which will go to the meetings of NHS Tayside Care Governance Committee and PKC Scrutiny Committee).

- 2.2 3 of the red risks on the Health Risk Register detailed in the August report relate to workforce issues. These have a potential implication for the IJB's Workforce Strategic Risk and the Chief Officer and EMT will now seek to review this further to make a determination on whether the strategic risk exposure score should be amended and what further strategic improvement action can be taken.
- 2.3 The 1 red risk on the Adult Social Work and Social Care Operational Risk Register relates to Care at Home provision. This has implications for the IJB's Strategic Risk relating to Viability of External Providers (SR06). The Chief Officer and EMT have already reviewed this and concluded that the risk exposure score of Strategic Risk SR06 should be increased. This change is reflected in the report at item 5.1 presented today.
- 2.4 The status of identified significant risks on the Operational Risk Registers of Health and Adult Social Work and Social Care is detailed at Appendix 1 for information.
- 2.5 To fulfil its obligations as set out in the PKIJB Integration Scheme, the IJB requires assurance that:-
- arrangements are in place for professional supervision, learning, support and continuous improvement for all staff;
 - there is evidence of effective information systems and that relevant professional and service user networks or groups feed into the agreed Clinical and Care Governance and Professional Governance framework;
 - arrangements are in place for embedding mechanisms for continuous improvement of all services through application of a Clinical and Care Governance and Professional Governance Framework;
 - Arrangements are in place for ensuring effective mechanisms for service user and carer feedback and for complaints handling;

The Chief Officer can confirm that the Clinical Care Governance Reporting Framework overseen by the PKHSCP Clinical Care Governance Forum supports regular review of all services to ensure that such arrangements are in place.

3. NEXT STEPS

- 3.1 The Partnership Operational Risk Registers will continue to be reviewed with the findings being considered by the Chief Officer and EMT for any implications for the IJB's Strategic Risks.
- 3.2 The Audit and Performance Committee will continue to be provided with a Partnership Operational Risk escalation assurance report at each meeting.

4. RECOMMENDATIONS

The Audit and Performance Committee is asked to:

- i) Note the assessment of Partner's Operational Risks and the assurance at section 2.

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