

## Summary of reports from NHS Tayside

Report No.	Report Description	Opinion	Key findings
T08/22	Internal Control Evaluation (ICE)	N/A	<p>NHS Tayside has successfully managed the shorter term operational risks, ensuring continuation of service delivery and good performance throughout the pandemic. The focus must now be on management of longer term risks, most importantly the Waiting Times risk, and development of overall Clinical Strategy. Completion of the 2020/21 ICE and Annual Report recommendations will be crucial to the organisation's long terms success.</p>
T29/22	Missing Clinical Psychology Case Records	Limited Assurance	<p>During the period December 2020 to April 2021, 78 Clinical Psychology Case Records were reported as missing. Internal Audit were requested by the Board Secretary / SIRO to undertake a review of the wider governance and risk implications of the missing records, the adequacy of the investigations and implementation of subsequent actions taken by NHS Tayside following identification of the missing records. Internal audit and management conducted further investigation, but the missing records have not been found.</p> <p>The Internal Audit review raised a number of serious concerns over the way in which the Local Adverse Event Reviews (LAERs) reviewing the missing records had been conducted and the implementation of the resultant action plans.</p> <p>The full internal audit report was considered by Tayside NHS Board's Audit and Risk Committee in reserved business on 20 January 2022. NHS Tayside has now agreed to undertake a Significant Adverse Event Review (SAER).</p> <p>The Audit and Risk Committee agreed that additional Internal Audit days should be allocated to allow Internal Audit to undertake further work to ensure the issues raised in the Internal Audit Report were progressed and the SAER was progressed in line with the correct organisational processes.</p>