



AUDIT & PERFORMANCE COMMITTEE

20 September 2018

TRANSFORMING GOVERNANCE ACTION PLAN - 2018/19

Report by Chief Financial Officer (Report No. G/18/121)

PURPOSE OF REPORT

The purpose of the report is to update the Audit & Performance Committee on the progress of the Transforming Governance Action Plan 2018/19.

1. INTRODUCTION

- 1.1 The purpose of the Annual Governance Statement (AGS), which was presented to the Audit & Performance Committee on 19 June 2018, was to give assurance to our stakeholders that we have effective arrangements in place to ensure that, as an IJB we are doing the right things for the right people at the right time in an open, honest and accountable way. The AGS was approved by the Audit & Performance Committee on 19th June 2018 with a request that an update on the Transforming Governance Action Plan be presented to the Audit & Performance Committee at the next Committee Meeting on 20th September 2018. The Action Plan sets out all the agreed priorities for the further development of effective governance arrangements.

2. GOVERNANCE ASSURANCE PROCESS

- 2.1 The process for reviewing the integrity and effectiveness of our governance arrangements to inform the AGS continues to be led by the IJB Chief Financial Officer. The assurance process seeks to demonstrate that the IJB has in place adequate internal controls that are considered fit for purpose in accordance with best practice.
- 2.2 The governance & assurance process continues to identify areas and controls that would benefit from further development to ensure that they can manage and mitigate current and emerging risks more effectively. The key priorities are set out in the Transforming Governance Action Plan.
- 2.3 Progress since first reporting on those required developments on 19th June 2018 is set out in **Appendix 1**.

- 2.4 A number of further actions have been added since the Audit and Performance Committee meeting on 19th June 2018. This reflects a final comprehensive review of outstanding actions in 17/18.

3. RECOMMENDATION

- 3.1 It is recommended that the Audit & Performance Committee:

- (i) Note the progress of the Transforming Governance Action Plan 2018/19.
- (ii) Remit the Chief Financial Officer to present a further update to the next meeting of the Audit and Performance Committee on further progress of the Transforming Governance Action Plan.

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APPENDICES

1. Transforming Governance Action Plan 2018/19